Guide Line of Mine Plan, Mine Closure Plan, & Scheme of Mining

0.1 General

c) Name of applicant/lessee
   Address
   District ___________________ State ___________ Pin code ___________
   Phone ___________________ Fax ___________________ Mobile No. ___________
   Email id. ___________

d) Status of applicant/lessee
   Private Individual ___________________ Co-operative Association ___________________
   Private Company ___________________ Public Limited Company ___________________
   Public Sector Undertaking ___________________
   Joint Sector Undertaking ___________________
   Other (Please Specify) ___________________

C) Mineral(s) which is/are included in the prospecting license (For Fresh grant)

d) Mineral(s) which is/are included in the letter of intent/lease deed

e) Mineral(s) which is the applicant/lessee intends to mine

F) Name of Recognised Person scheme of Mining/Mine Close Plan
   Address ___________________
   Phone ___________________ Fax ___________________ Email Id ___________
   Mobile No. ___________
   Registration No. ___________
   Date of Grant/Renewal ___________
   Valid upto ___________
   Tin No/Pan No. ___________
2.0 i) List of Annexures  
   ii) List of Plates  
3.0 LOCATION AND ACCESSIBILITY  
a) Lease Details (Existing Mine)  
   Name of mine ............................................ Lat/long of any boundary point ..........  
   Date of grant of lease ................................ Period/Expiry Date ............................  
   Name of leaseholder ..................................................  
   Postal Address ..........................................................  
   ........................................................................  
   Telephone ................................ Fax ................................ email id .......................... Mobile No.  
   ........................................................................  
b) Details of applied/area with location map (fresh area/mine)  

<table>
<thead>
<tr>
<th>Forest (specify)</th>
<th>Area (ha)</th>
<th>Non-Forest</th>
<th>Area (ha)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Forest</td>
<td></td>
<td>1- Waste land,</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>2- Grazing land,</td>
<td></td>
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<td></td>
<td></td>
<td>3- Agriculture land,</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>4- Others (specify)</td>
<td></td>
</tr>
</tbody>
</table>

Total lease area/applied area .................................................................
District & State ..............................................................................
Taluka ................................ Village ........................................

Whether the area falls under Coastal Regulation Zone (CRZ) ? if yes, details Existence of public road/railway line, if any nearby and approximate distance

Toposheet No. with latitude & longitude of all corner boundary point/pillar

c) Attach a general location map showing area and access routes. It is preferred that the area be marked on a Survey of India topographical map or a cadastral map or forest map as the case may be. However, if none of these are available, the area may be shown on an administrative map.

4.0 DETAILS OF APPROVED MINING PLAN/SCHHEME OF MINING (If any)

4.1 Date and reference of earlier approved Mp/SOM

4.2 Details of last modification if any (for the previous approved period) of approved MP/SOM, indicating date of approval, reason for modification

4.3 Give review of earlier approved proposal (if any) is respect of exploration, excavation, reclamation etc.

4.4 Give status of compliance of violations pointed out by IBM/District Magistrate/Deptt. Geology and Mining office or other specified person appointed by Government or Director Geology & Mining.

4.5 Indicate and give details of any suspension/closure/prohibitory order issued by any Government agency under any rule or Court of law
4.6 In case the MP/SOM is submitted for approval of modification, specify reason and justification for modification.

4 - Geology and Reserves

4.1.4 Physiography: The aspects to be looked into are topography of terrain, drainage pattern, vegetation, climate, rainfall data of the area applied/mining lease area.

4.1.5 Geology: Surface geological map with contour interval maximum of 10 meter on a scale of 1:2000/1:1000 may be examined for features detailed below:

VI) Disposition of all lithological units with clear nomenclature and their descriptions.

VII) Contacts of lithounits/rock types traced or inferred.

VIII) Attitudes like strike and dip available in adequate numbers.

IX) Structural features such as joints, folds, faults and their attitudes.

X) Delineation of mineralized/ore zones with definite demarcation of observed and inferred.

4.1.6 Details of Exploration already carried out.

4.1.3.1 Exploration proposed to be carried out (in case adequate total reserves is not established for the tenure of lease)

4.1.4 Reserve/Resource Estimation:

4.1.4.1 Parameters For Estimation:

5.0 Mining:

5.1 Open cast mining:

(i) Existing method of Mining

(ii) Proposed method of Mining

(iii) Last five year production Target & achievement

(iv) Proposed five year production target.

5.2 Plans and sections:

5.3 Blasting:

5.4 Mine Drainage:

5.5 Disposal of waste:

5.6 Storage and preservation of top soil:

5.7 Proposal for reclamation of land affected by mining activities:

5.8 Measures for dust suppression:

5.9 Measures to minimize vibration due to blast and check noise pollution:

5.10 Tailing Dam:

5.11 Guidelines for scrutiny with respect to mineral beneficiation.

5.12 How many time penalty imposed upon lessee against illegal Mining.

5.13 Employment Potential /Minne Manegement Plan

5.14 Environment Management Plan
6.0 (i) NOC from land owners should be provided along with Khasra map for the area where mining proposed for five years.

A. CONSENT LETTER/UNDERTAKING/CERTIFICATE FROM THE APPLICANT:

01. The Mining Plan/Scheme of Mining is respect of (Name of Mine) Mine over an area of (Area in Hact), in (Village), P.O. (Name of Post office), District (Name of District), (Name of State), Uttarakhand Notification No. 844/VII-1/2015/68-kha/2015, Date, 31-07-2015 and Notification No. 1589/VII-1/2015/68/kha/2015, Date 07.10.2015 has been prepared by RQP (name of RQP).

This is to request the Director, Geology and Mining Department, Uttarakhand Dehradun to Make any further correspondence regarding any correction of the Mining Plan/Scheme of Mining with the said recognized person at his address below:

(Full name of RQP and address)

We hereby undertake that all modifications/updating as made in the said Mining Plan/Scheme of Mining by the said recognized person be deemed to have been made with our knowledge and consent and shall be acceptable to us in all respects.

2. It is certified that the Progressive Mine Closure plan of (Name of Mine) Mine of (Owner's name/Company name) over an area of (Area in Ha.) complies with all statutory rules, Regulations, Orders Made by the Central or State Government, Statutory organization, Court etc which have been taken into consideration and wherever any specific permission is required the lessee will approach the concerned authorities.

The information furnished in the Progressive Mine Closure plan is true and correct to the best of our knowledge and records.

3. "The provisions of Mines Act, Rules and Regulations made there under hectares in ............district in .............state belonging to (Name of Mine) Mine and where specific permissions are required, the applicant will approach the D.G.M.S. Further, standards prescribed by D.G.M.S, in respect of miners' health will be strictly implemented".

4. I authorize RQP ........................................to submit mining plan/scheme of Mining for approval and collect the approved copy of mining plan/scheme of mining on my behalf.

Sign of Lessee/Applicant

CERTIFICATE FROM RQP:

The provisions of the Uttarakhand Miner Mineral Concession Rules, 2001 have been observed in the preparation of the Mining plan/Scheme of Mining for (Name of Mine) Mine over an area of (area in hect), of M/s (Name of owner/Company/Organization), in (Village name), P.O. (Post office name), District (District Name) of (State Name) State and whenever specific permissions are required, the applicant will approach the concerned authorities of Director, Geology and Mining Department, Dehradun.

The information furnished in the Scheme of Mining is true and correct to the best of our knowledge.

Place: .................................................

(Name of Recognised Person) Date: .................................................

Reg. No. .................................................

Recognised Person
GUIDELINE FORMAT OF PROGRESSIVE MINE CLOSER PLAN (PMCP) FINAL MINE CLOSURE PLAN (FMCP) Preamble

1. Introduction

1.1 Reasons for closure:

1.2 Statutory obligations:

1.3 Closure Plan preparation:

2. Mine Description:

2.1 Geology:

2.2 Reserves:

2.3 Mining Method:

2.4 Mineral Beneficiation:

3. Review of Implementation of Mining Plan/Scheme of Mining including five years Progressive Closure Plan upto final closure of mine:

4. 

5. Closure Plan:

5.1 Mined Out Land:

5.2 Water Quality Management

5.3 Air Quality Management:

5.4 Waste Management:

5.5 Top Soil Management:

5.6 Tailing Dam Management:

5.7 Infrastructure:

5.8 Disposal of Mining Machinery:

5.9 Safety and Security:

5.10 Disaster Management and Risk Assessment:

5.11 Care and maintenance during temporary discontinuance:

6. Economic Repercussions of Closure of mine and manpower retrenchments:

7. Time Scheduling for abandonment:

8. Abandonment Cost:

9. Financial Assurance:
Model Bank Guarantee Form:

Form No. ____________
The Director Geology & Mining Department,
Dehradun

_________________________

Dear Sirs,
Guarantee No.
Amount of Guaratee Rs. ________________________________
Guarantee Period from _________ to _________________
Last date for lodgment of claim _______________________

This Deed of guarantee executed on _____ Day of __________, 20 ____________ by _______ (bank name) constituted under the ________ act having its central
office at ____________________ (hereinafter referred to as the bank) in favour of Director Geology and Mining Department, Bhopalpani Dehradun.
(hereinafter referred to as the Beneficiary) for an amount not exceeding
Rs. ________________________
(Rs. ________________________ Only) at the
Request of ____________ (hereinafter referred to as the contractor/s). This guarantee is issued subject to the condition that the liability of the bank under this guarantee is limited to maximum of Rs.
____________________ (Rs. ________________________ Only)
And the guarantee shall remain in full force up to ____________________ (date of expiry) and cannot be revoked on or before ____________________ (last date of claim) by the Bank or applicant.

SUBJECT TO AS AFORESAID
(Main guarantee matter may be typed hereafter)

For (Bank)
Seal
Branch Manager
(________________________ Branch)
BANK GUARANTEE AND CO-ACCEPTANCE BOND

1. Agreement on production of a Bank guarantee for Rs. ____________ (Rs-Only).

2. We ______________________ (bank name), at the request of Shri ____________ S/o

__________________________ (lessee) do hereby undertake to pay to the Director Geology & Mining

Dep. Dehradun, ______________________, or any other officer authority nominated by the

Director of Mines an amount not exceeding Rs. ____________ (Rs.

__________________________ only) against any loss or damage caused to or suffered or would be

caused to or suffered by the Government or towards non compliance of provisons Mine closure

plan/progressive mine closure plan approved in respect of the mining lease (name of mine)

__________________________ for ______________________ (are/ores) over an area of

__________________________ Hects. Granted by State Government to Shri/Ms ____________ S/o

__________________________ (lessee) situated in ______________________ village

__________________________ Taluka ____________ District

__________________________ State by reason of any breach of the said lessee of any of the

terms or conditions contained in the Mine closure plan/progressive mine closure plan.

3. We, ______________________ (bank) do hereby undertake to pay the amount due and Payable

under this guarantee without any demur, to the authority merely on a demand from the Director

Geology & Mining Department, Dehradun ____________, or any other authorized by the

Director Geology & Mining Department, Dehradun stating that the amount claimed is due by way of

loss or loss of damage caused to or would be caused to or suffered by the government by reason of

breach by the said lessee or any of the terms or conditions contained in the mining plan/mining

scheme or by reason of lessee's failure to perform the said mine closure plan/progressive mine

closure plan. However our liability under this guarantee shall be restricted to an amount not

exceeding Rs. ____________ (Rs. ______________________ Only).

4. We undertake to pay to the authority on a demand from the Director Geology & Mining

Department, Dehradun, ____________, or any other officer authorized by Director

Geology & Mining Department, Dehradun or Govt. of India any money so demanded

notwithstanding any dispute or disputes raised by the lessee in any money so demanded pending

before any court or tribunal relating thereto our liability under this present being discharge of our

liability for payment there under and lessee shall have no claim against us for making such payment.

5. We, ______________________ (bank name), Further agree that the guarantee herein contained shall

remain in full force and effect during the period up to the Mining plan/Scheme of Mining period of

Five years that would be taken for performance of the said Agreement and that shall continue to be

enforceable till all the dues of the Govt. undr or by virtue of the said agreement have been fully paid

and its claims satisfied or discharged till Director Geology & Mining Department, Dehradun

__________________________ or any other officer authorized by the Director Geology & Mining Department,

Dehradun certifies that the terms and conditions of the said progressive mine closure plan/final

mine closure plan have been fully and properly carried out by the said lessee and accordingly

discharge this guarantee. Unless a demand or claim undr this garuntee is made on us in writing on or

before ______________________, we shall be discharged from all liability under this guarantee thereafter.

6. We further agree that Director Geology & Mining Department, Dehradun __________ or any other

officer authorized by the Director Geology & Mining Department, Dehradun shall have fullest liberty

without our consent and without affecting in any manner our obligations hereunder to vary any of
the terms and conditions of the said agreement or to extend time of performance by the said lessee form tim to time or to postpone for any time to tfrom time to time any powers exercisable by Director Geology & Mining Department, Dehradun, against the said lessee and to forbear or enforce any of terms and conditions relating to the said agreement, we (bank) shall not be relived from our liability by reason of any such variation part of Regional Controller of Mines, India Bureau of Mines, or any indulgence by Director Geology & Mining Department, Dehradun, to the said lessee or in any manner or thing wheroever which undr the law relating to sureties, would but this provision have effect of so relieving us.

7. This guarantee will not be discharged due to change in constitution of the bank or lessee.

8. We, ___________________________ (bank name), hereby undertake to revoke this guarantee during its currency except with the previous consent of the Director Geology & Mining Department, Dehradun, in writing.

9. Notwithstanding anything contained herein:
   a) Our liability under this Bank guarantee shall not exceed Rs. ___________________________ only.
   b) The bank guarantee shall be valid up to ___________________________.
   c) The period of bank guarantee submitted is for the period of the proposals given in the mining plan /Scheme of mining/OMC etc. We are liable to pay the guarantee amount or any part thereof under this Bank guarantee and only if served upon us a written claim or demand on or before.

10. If the bank guarantee is to be en-cashed through the court. In that case the (city Dehradun) court will have jurisdiction.

11. In witness whereof, the bank through its authorized officer has set its hand and stamp on this.

__________________________ Day of ___________________________ 20 __________ at ___________________________

(Bank) Seal
Branch Manager,
__________________________ Branch

FOLLOWING POINTS NEED TO BE CONSIDERED WHILE SUBMITTING THE BANK GUARANTEE

A) The bank guarantee should be made on stamp paper its minimum value of Rs. 200/- in Maharashtra or as per respective states Stamp Act.

B) Each page of bank guarantee should be signed by two bank officers indicating clearly their name, designation and code number.

C) Item Bo. 2 of the bank guarantee should clearly indicate the name of the Mine, ML No., Survey. No/Khasra No., Village, Taluka, Dist. And State etc.

D) The Period of bank guarantee should be co-terminus with the plan/scheme period

E) Original bank guarantee should be submitted separately with a forwarding letter while submitting final bound copies of MP/ Scheme of Mining/ FMCP and a photocopy should be kept in each bound copy of MP/Scheme of Mining/FMCP.

F) Every Page of the bank guarantee should be signed by the Bank Manager under his seal.
FORMAT FOR RECEIPT OF DRAFT/MODIFIED MINING PLAN/
SCHEME OF MINING OF MINE CLOSURE PLAN
GOVT OF UTTARAKHAND
GEOLOGY AND MINING UNIT,
UTTARAKHAND, BHOPALPANI
DEHRADUN.

No.

Receipt
(Contents not verified)

Received one Mining Plan, Scheme of Mining of Mine Closer Plan /Modification to the approved Mining Plan/ Scheme of Mining containing pages (text) annexures and plates.


Name of the mine/area with kh. No.
Village, District, State :

Name of Mineral :

Area in acres/hect :

Name of applicant :

Name & designation of applicants
Representative submitting the MP/MS :

Name and No. of recognized person :

Is it first mining plan or modified Mining Plan

Mode of receipt :

Fee receipt :
Date of receipt :

Remarks if any :

(Signature and designation of Receiving officer)
Director Geology & Mining Department, Dehradun

To,
Application for Recognition as Recognised Person to Prepare Mining Plans/Scheme of Mining/Mine Closer Plan

FORM – A

"Persons eligible for grant of recognition May download this form and submit the duly filled form to the concerned office along with the form of Rs. ............................../- (for individual registration) payable by Demand Draft drawn on any nationalized bank, in favour of "Drawing and Disbursing Officer, Director Geology & Mining Department."


I, ____________________________
am desirous of seeking recognition as recognised person Uttarakhand Notification No. 844/VII-1/2015/68-kha/2015, Date, 31-07-2015 and Notification No. 1589/VII-1/2015/68/kha/2015, Date 07-10-2015 For age preparation of Mining Plans/Scheme of Mining/Mine Closer Plan and furnish the following information:

1. (a) Name of the applicant:
   (b) Father's name:
   (c) Address of the applicant:
   (d) Date of Birth:
   (e) Nationality:
   (f) Place where the applicant ordinarily resides: village/City

__________________________
Taluk District State

Email id ________________________

2. Academic and Professional Qualifications (Degree level and above)
   Name of Institution/
   Examination
   Passed
   Year of
   Passing Subject

3. Experience in supervisory capacity in mining operations (stating from the present or most recent position)
   A. Present or most recent post
      Yeats of Service: From ________ to ________
      Title of post:
Name and location of the mine:

Name and Address of the Employer:
Description of your work indicating
Your personal responsibility

B. Previous post
Years of Service: From __________ to __________

Title of Post:
Name and location of the mine:
Description of your work indicating
Your personal responsibility

C. Previous post
Years of Service: From __________ to __________

Title of Post:
Name and location of the mine:
Description of your work indicating
Your personal responsibility

4. Experience if any in mine planning – Analysis of own experience (State why you consider yourself suitable for preparation of mining plans including environmental management plans. Give particulars of mine plans prepared if any.)

5. List of copies of certificated & testimonials attached in support of qualifications, Experience

6. (a) State if application for recognition was ever made before under Rule 22 (c) of MCR, 1960. (b) If yes, name and address of the authority to whom the application was made and date of application.

© Decision of the said authority.

I hereby declare that the information furnished above is true, complete and correct to the best of my knowledge and belief.

Place:
Date:
Signature of the applicant

FOR OFFICE USE ONLY

1. Having examined the application of Shri ________________________________, I am satisfied that the applicant is FIT/NOT FIT for recognition.

The registration number accorded to him as a recognized person is: 3. The reasons for refusing the grant of recognition are recorded below:

Place:
Date:

Directo/ Geology & Mining,
Bhopalpani, Uttarakhand
Dehradun
INSTRUCTIONS TO THE APPLICANT

(These instructions sheet may be detached from the application
From and retained by the applicant for his own record)

1. Applications may be submitted in the prescribed to Act, 1957 Director Geology & Mining,
Bhopalpani, Uttarakhand Dehradun. An additional copy of the form is provided with each set (not on
the site) which may be retained by the applicant for his reference and record.

2. The application may be complete in all respects. Only photocopies of certificated of
qualifications/experience/age etc. duly attested by a gazette officer of the Central or State
Government may be sent with the application form. No original documents need be sent. They may
be submitted only when called for by the competent authority.

3. Applications may be sent to the Director Geology & Mining, Bhopalpani, Uttarakhand Dehradun.

4. The recognition granted by Director shall be valid for the preparation of mining plans Scheme of
Mining/Mine closure Plan for mineral deposits in any State of Uttarakhand for all Minor Minerals
declared by Mining of Minor under Sec 3 e of MMDR Act 1957, Govt of India.

5. Individuals desirous of seeking recognition may apply in form “A” along with copies of certificates,
testimonials etc.

6. In case the applicant is a public company as defined in the Company Act, 1956, a private company as
defined in the said Act, a firm or an association of individuals duly registered under relevant Act
(herein after called Applicant Organisation), the application may be made in Form “B”

I. In case of applicant organizations, the number of key persons may be given up to a
maximum of six persons. They will be the persons earmarked for preparations of mining plan
Scheme of Mine closure Plan either by themselves or for guiding and supervising the work of
a team engaged in the preparation of the mining plans/Scheme of Mine of Mine Closer Plan.

II. The key persons shall individually possess the qualifications and experience required under
Rule 22 MCR 1960 for being recognized as a qualified person.

III. The key persons may also apply if they so desire for recognition in their individual capacity
under Rule 22 MCR 1960 for recognition as a qualified person in which case the application
shall be submitted in from “A”

IV. In case any of the key persons leaves or otherwise with the applicant recognizing authority
shall be sent forthwith by the applicant organization to the recognizing, an intimation shall
be sent forthwith by the key person has left the services or otherwise disassociated. The
Competent authority may review the recognition granted to an applicant organization when
50% or more of key persons taken into consideration at the time of grant of recognition
depart or disassociate from the said organization.

7. A passport size photograph duly attested by a gazette officer of Central or State Government shall
be affixed to the application from in the space provided for it. In case of applicant organization the
passport size photograph of key persons similarly attested shall be affixed to the enclosures form
“B” in the space provided for it.

8. No item/column may be left blank or deleted. If the information against any column/item is nil it
may be so stated/recorded giving the actual facts. Leaving it blank or/item is nil it may be so
stated/recorede giving the actual facts. Leaving it blank making dashes or writing N.A. is not enough.
A clear and definite reply is required.
9. While describing the experience in the supervisory capacity in item No. 3 of the application, the nature of duties and managerial functions, performed may be clearly brought out.

10. The recognition shall be valid for a period of five years from the date of grant of recognition. The competent authority while according recognition will allot a registration number. This may be mentioned by the person in all future correspondence with the Director of Mines made in connection with the mining plans and also mentioned in the mining plan prepared by him as required under MMDR Act and the rules made thereunder.

11. An Application, if found deficient in any respect is liable to be rejected. In submitting an application, therefore, the applicant may ensure that all requirements specified in the relevant provisions of the law & instructions are complied with.

12. The recognized will be required to comply with the instructions laid down by the competent authority from time to time.

FORM – B

"Persons eligible for grant of recognition may download this form and submit the duly filled in form to the Director Geology and Mining, Dehradun along with the form fee Rs- .......................... (for company registration), payable by Demand Draft drawn on any nationalized bank, in favour of Director Geology and Mining Department, Uttarakhand Dehradun.

Application for Recognitions as Recognised Person to Prepare Mining Plans/Mine Closer Plan of Scheme of Mine)

(Government of Uttarakhand Development Department, Uttarakhand Notification No. 844/VII-1/2015/68-kha/2015, Date, 31-07-2015 and Notification No. 1589/VII-1/2015/68/kha/2015, Date 07-10.2015 as Recognised Person to Prepare Mining Plans/Scheme of Mining of Mine Closer Plan.

I, ____________________________

______________, on behalf of (applicant organization) am desirous of seeking recognition as qualified person under (Government Uttarakhand : Industrial Development Department, Notification No. 844/VII-1/2015/68-kha/2015, Date, 31-07-2015 and Notification No. 1589/VII-1/2015/68/kha/2015, Date 07-10.2015 as Recognised Person to Prepare Mining Plans.) for the preparation of Mining Plans and furnish the following information:

1. Name of the applicant organization:
2. Registration number and date and name:
   Of the authority with whom registered.
   Address of the registered office and Email ID.
3. Name of the authorized signatory Mobile No., Tel. No., Fax No. and Email ID.
4. Signatory’s father’s name:
5. Names of key persons (p to a maximum of six)
(Government Individual Development Department, Uttarakhand Notification No. 844/VII-1/2015/68-kha/2015, Date, 31-07-2015 and Notification No. 1589/VII-1/2015/68/kha/2015, Date 07-10-2015 as Recognised Person to Prepare Mining Plans.)

1.

2.

3.

4.

5.

6.

(Attach biodata of key persons in enclosed proforma)

(a) State if application for recognition was ever made before under Rule 22 (c) of Mineral Concession Rules, 1960.

(b) If yes, name and address of the authority to whom the application was made and the date of application.

© Decision of the said authority.

6. State why you consider the applicant organization suitable for preparation of mining plans including environmental management plans. Give particulars of mine plans prepared, if any. Attach a separate sheet if necessary.

I hereby declare that the information furnished in this application form together with the enclosures is true, complete and correct to the best of my knowledge and belief. A copy of the power of attorney to act for and on behalf of my organization is enclosed.

For and on behalf of

Place: _________________________

Date: Seal of the Applicant

Organization Authorised Signatory

FOR OFFICE USE ONLY

1. Having examined the application for

M/s _________________________, I am
Satisfied that the applicant is FIT/NOT FIT for recognition under Rule 22 © of MCR, 1960.

2. The registration number accorded as a recognized person is: 3. The reasons for refusing the grant of recognition are recorded below:

Place:

Date:

Regional Controller of Miner

Indian Bureau of Mines

Region

Enclosure to FORM- B

Bio data of key person (Sr. No..................) Passport size

Photograph of the

Applicant duly

Attested

1. (a) Name of the Key person:
   (B) Father name:

© Address of the key person:

(d) Date of Birth:

(e) Nationality:

(f) Place where the applicant ordinarily resides:

Village/City ___________, Taluq ___________, District ________________

State ________________

2. Academic and Professional Qualifications (Degree level and above) Name of Institution /
   University
   Examination

Passed

Year of

Passing Subject
3. Experience in supervisory capacity in mining operations (starting from the present of most recent position)

A. Present or most recent post
   Years of Service: From ______ to ______

   Title of Post:
   Name and location of the mine:
   Name and Address of the Employer:
   Description of your work indicating
   Your personal responsibility

B. Previous post
   Years of Service: From ______ to ______

   Title of Post:
   Name and location of the mine
   Name and Address of the Employer:
   Description of your work indicating
   Your personal responsibility

C. Previous post
   Years of Service: From ______ to ______

   Title of Post:
   Name and location of the mine
   Name and Address of the Employer:
   Description of your work indicating
   Your personal responsibility

4. Experience if any in mine planning – Analysis of own experience (state why you consider yourself suitable for preparation of mining plans including Environmental management plans. Give particulars of mine plans prepared if any.)
5. List of copies of certificates & testimonials attached in support of qualifications, experience I hereby declare that the information furnished above is true, complete and correct to the best of my knowledge and belief.

Place:

Date:

Signature of the key Person

Seal of the Applicant Organisation Countersigned by the Authorised Signatory

- This number may be the same as the serial number of the Key person mentioned under item 6 of Form B.

**INSTRUCTIONS TO THE APPLICANT**

(These instructions sheet may be detached from the application)

Form and retained by the applicant for his own record)

1. Application may be submitted in the prescribed form available on sale form any of the offices of the Director Geology and Mining Department, Uttarakhand Dehradun. An additional copy of the form is provided with each set (not on the site) which may be retained by the applicant for his reference and record.

2. The application may be complete in all respects. Only photocopies of certificates of qualifications/experience/age etc. duly attested by a gazetted officer of the Central or State Government may be sent with the application form. No original documents need be sent. They may be submitted only when called for by the competent authority.

3. Application may be sent to the Director of mines under whose territorial jurisdiction the applicant ordinarily resides. In case of Companies, Firms, associations etc., jurisdiction the applicant ordinarily resides. In case of companies, firms, associations etc., the application may be sent to the Director of mines under whose territorial the application may be sent to the Director of Mines undr whose territorial jurisdiction the registered office is situated. The addresses of the various Director of mines and their respective territorial jurisdictions are given in the Annexure (also available on site)

4. The recognition granted by any Director of mines shall be valid for the preparation of mining plans for mineral deposits any where in India.

5. Individuals desirous of seeking recognition may apply in form 'A' along with copies of certificates, testimonials etc.

6. In case the applicant is a Public company as defined in the Company Act, 1956, a private company as defined in the said Act, a firm or an association of individuals duly registered under the relevant Act (hereinafter called Applicant Organisation), the application may be made form 'B'.

1. In case of applicant organizations, the number of key persons may be given up to a maximum of six persons. They will be the persons earmarked for preparation of mining plans either by themselves or for guiding and Supervising the work of a team engaged in the preparation of the mining plans.
II. The key persons shall individually possess the qualifications and experience required under Rule 22 for being recognized as a qualified person.

III. The key persons may also apply if they desire for recognitions in their individual capacity under Rule 22 for recognition as qualified person in which case the application shall be submitted in form 'A'.

IV. In case any of the key persons leaves or otherwise disassociates with the applicant organization, an intimation shall be sent forthwith by the applicant organization to the recognizing authority stating the date from which the key person has left the services or otherwise disassociated. The competent authority may enquire the recognition granted to an applicant organization when 50% or more of key persons taken into consideration at the time of grant of recognition depart or disassociate from the said organization.

7. A passport size photograph duly attested by a gazetted officer of Central or State Government shall be affixed to the application form in the space provided for it. In case of applicant organization the passport size photograph of key persons similarly attested shall be affixed to the enclosures to Form 'B' in the space provided for it.

8. No item/column may be left blank or deleted. If the information against any column/item is nil it may be so stated/recorded giving the actual facts. Leaving it blank or making dashes or writing N.A. is not enough. A clear and definite reply is required.

9. while describing the experience in the supervisory capacity in item No. 3 of the application, the nature of duties and managerial functions, performed may be clearly brought out.

10. The recognition shall be valid for a period of ten years from the date of grant of recognition. This competent authority while according recognition will allot a registration number. This may be mentionned by th person in all future correspondence with the Director of mines made in connection with the mining plans and also mentioned in the mining plan prepared by him as required under MMDR act and the rules made thereunder.

11. An application, if found deficient in any respect is liable to be rejected. In submitting an application, therefore, the applicant may ensure that all requirements specified in the relevant provisions of the law & instructions are complied with.

12. The recognized persons will be required to comply with the instructions laid down by the competent authority from time to time.
GOVT OF UTTARAKHAND
GEOLOGY AND MINING UNIT,
UTTARAKHAND, BHOPALPANI
DEHRADUN.

No.

Form:

The Director Geology & Mining,
Bhopalpani, Uttarakhand
Dehradun

To : ________________________________

Sub : Your application No. ______ dated ______ for grant of recognition as a recognised person
Government of Uttarakhand, Industrial Development Department, Notification No. 844/VII-1/2015/68-
khkha/2015, Date, 31-07-2015 and Notification No. 1589/VII-1/2015/68/kha/2015, Date 07-10.2015 as
Recognised Person to Prepare Mining Plans, Scheme of Mining of Mine Closer Plan.

Sir,

With reference to your above said application, you are hereby informed that recognition sought by you is
hereby refused for the reasons stated below :

Your faithfully

(Director/Geology & Mining,
Bhopalpani, Uttarakhand
Dehradun)

Place

Dated
GOVT OF UTTARAKHAND
GEOLGY AND MINING UNIT,
BHOPALPANI, UTTARAKHAND
DEHRADUN.

CERTIFICATE OF RECOGNITION AS RECOGNISED PERSON
(Under Rule 22C of Mineral Concession Rules, 1960)

Shri .............................................., S/O .................................., resident of ........... affixed herein above, having given satisfactory evidence of his qualifications and experience is hereby RECOGNISED under Government Indistrial Development Department, Uttarakhand Notification No. 844/VII-1/2015/68-kha/2015, Date, 31-07-2015 and Notification No. 1589/VII-1/2015/68/kha/2015, Date 07-10-2015 as Recognised Person to Prepare Mining Plans, Scheme of Mining of Mine Closer Plan.

His registration number is RQP/______/20______/A

This recognition is valid for a period of 05 (Five) years ending on

This certificate is liable to be withdrawn/cancelled in the event of furnishing the wrong information/documents in the mining plan Scheme of Mining of Mine Closer Plan submitted by him.

Director/Geology & Mining,
Bhopalpani, Uttarakhand
Dehradun

Place:

Date:
Proforma for Annual Return by the person recognized by Department for Mine Plan/ Scheme of Mining of Mine Closer Plan.

Return shall be sent in triplicate to the Recognizing authority by 31st July every Year for the year ending 31st March.

Annual Return for the year (ending 31st March)

1. Name and address of the recognized person.
2. His registration number.
3. Particulars of each of mining plan Scheme of Mining of Mine Closer Plan indicating the following:
4. Title of the mining plan
5. Name and address of the client
6. Date of submission to the approving authority
7. Reference of letter of approval/rejection of mining plan/ Scheme of Mining of Mine Closer Plan.
8. Whether prepared singly or jointly with others
9. In case prepared jointly, give names and registration number of recognition of others.
   (The information under item 3 may be given on separate sheets for each of the mining plans/ Scheme of Mining of Mine Closer Plan prepared)

PROCEDURE FOR RENEWAL OF CERTIFICATE ISSUED TO RECOGNISED QUALIFIED PERSONS

1. The certificate shall be renewed only on getting request for renewal submitted in Form C. The completed form may be submitted to the Director office where form the recognition had been granted. In case the form downloaded from the website renewed by the Director Geology & Mining Department, Dehradun.

2. The Recognised person shall submit his annual report and a part report upto the date of application along with is request for renewal. The original certificate is to be enclosed by Recognised Person for endorsement along with application.

3. On the certificate in the front page left had side rubber stamp reading “renewed upto______” may be put form the date of expiry irrespective of the date of application for renewal.

4. The renewal application may normally be submitted by recognized persons three months before the date of expiry. In any case it cannot be accepted after the date of expiry of recognition.

5. Below rubber stamp granting renewal as mentioned at item No. 3, competent authority may put full date signature.

6. The recognition may normally be renewed except in case below par performance of Recognised Person or his extreme un-co-operation if any, Recognised Person has not prepared any mining plan/ Scheme of Mining of Mine Closer Plan. this may not be criteria for not granting the renewal. In case of refusal to renew recognition, prior approval of concerned Director to be taken. The reason for not granting recognition may be recorded and the Recognised Person may be given as opportunity before refusal of Recognition.
FORM OF DECLARATION TO BE GIVEN BY THE APPLICANT WHILE SEEKING RECOGNITION UNDER
Government Industrial Development Department, Uttarakhand Notification No. 844/VII-1/2015/68-
khaka/2015, Date, 31-07-2015 and Notification No. 1589/VII-1/2015/68/khaka/2015, Date 07-10.2015 OF MCR
1960 AS A COMPANY/ORGANISZATION/ASSOCIATION ETC.

I, ___________________________ Company declare that the key persons as per the information
furnished at item 6 of the application form B No. ___________ do not hold the position key persons of any
company/firm/organization/association etc for which the recognition has been obtained or is being sought
Department, Notification No. 844/VII-1/2015/68-khaka/2015, Date, 31-07-2015 and Notification No. 1589/VII-
1/2015/68/khaka/2015, Date 07-10.2015.

For and on behalf of

M/s ___________________________

Authorized Signatory
(Name & Signature of
Authorised Signatory
With seal of Organization.

Place ___________________________

Date ___________________________

नां: प्रतिष्ठा:

1. उत्तराखंड गौंध खनिज नीति-2015 के प्रस्तर 3(३)(६) के अनुसार आर्क्स्क्यूपोली जो आईकीएड्स द्वारा अधिकृत किये गये हैं, विदेशक से पूरे पंजीकरण प्राप्त होने तक मान्य रहेंगे। इस नीति के लागू होने के उपरांत सभी आर्क्स्क्यूपोली को निदेशक, भूतपूर्व एवं रात्रिकाल में सौ 10,000/- की धारणी नियमित लेखाशीर्षक में पंजीकरण शुल्क के रूप में जमा कराकर पंजीकरण कराया जाना अनिवार्य होगा। पंजीकरण की अवधि 65 वर्ष के लिए मान्य होगी।

2. खनन क्षेत्र को विडियोटेक्स किये जाने के निदेश इस आयोग से प्राप्त कर दिये जाये कि सम्बन्धित आर्क्स्क्यूपोली/खनन पदातान 45 दिन के उपरांत विडियोटेक्स खंड का माध्यमिक जीपीएस कोड सेटिंग के साथ साथ कार्य में निदेशाधीन कर दें।

3. खनन मंत्रालय, भारतीय खनन बूढ़ो के पत्र संख्या 213(१)/Gen. Update/ME (१)/2015 नागरिक दिनांक 09-04-2015 के साथ अनुमति-1 (Proforma for items of Information for National Mineral Inventory As On Date 1-4-2015) प्रक्रम पर सूचना भरकर आपसियों के निर्धारण के समय पदातान आर्क्स्क्यूपोली एवं मैन नेटवर्क के हस्ताक्षर के उपरांत आपसियों के निर्धारण के समय प्रस्तुत करेंगे।

4. पदातान जिस खंड में कार्य करेंगे उस खंड की सूचना सम्बन्धित जनपद के खनन अवकाशीय एवं उपवासियो, खनन भूतपूर्व एवं रात्रिकाल इंकार को जिस खंड में खनन हो रहा है के मूलमान से किये गये अनुरोध की छाया प्रति के साथ खनन कार्य प्रस्तुत करने के 15 दिन पूर्व प्रस्तुत करेंगे।

5. उत्तराखंड गौंध खनिज नीति-2015 के प्रस्तर 3(३)(६) के अनुसार पदातान द्वारा खनन योजना सम्बन्धित खनन अवकाशीय/उपवासियो (खनन) के संबंध सौ 20,000/- की धारणी नियमित लेखाशीर्षक में इकट्ठी खानन के माध्यम से जमा कराने के उपरांत खानन की प्रति के लागू एक प्रति में खनन योजना, रिसीव माइनिंग, मैन फ्लोजर प्लान प्रस्तुत करने में सम्बन्धित उपवासियो, खनन एवं स्खलन अवकाश अपनी आस्था के साथ खनन योजना, रिसीव म्यास माइनिंग, मैन फ्लोजर प्लान निदेशाधीन को अनुमति हेतु प्रस्तुत करें। सम्बन्धित उपवासियो/खनन अवकाशीय क्षेत्र का निर्धारण कर झुकाए माइनिंग प्लान रिसीव म्यास माइनिंग में पाई गई किमियों हेतु नौटिस देते हुये निदेशाधीन को अप्रसारित किया जायेगा।
6. उपनिदेशक, खानन एवं खान अधिकारी के माध्यम से प्राप्त खानन योजना, स्कीम आफ माइंडिंग, माइन क्लोजर प्लान का निदेशालय स्तर पर परीक्षण का सार नई कार्यवाही व निराकरण हेतु सम्बंधित आरोप्यवाली को निदेशालय स्तर से नोटिस दिया जायेगा। जिसका निराकरण सम्बन्धित आरोप्यवाली के संबंध में उपरोक्त 05 प्रतियों खानन योजना/ माइनक्लोजर प्लान/ स्कीम आफ माइंडिंग निदेशालय में प्रस्तुत करें।

7. सम्बन्धित आरोप्यवाली के इंतजम में कार्यवाही के निराकरण द्वारा जाने के संबंध में उपरोक्त खानन योजना, स्कीम आफ माइंडिंग, माइन क्लोजर प्लान को अनुमोदन प्रदान किया जायेगा।

प्रेस्मं 1762/ खानन/ गौण विभाग/माइंडिंग/स्कीम/ 2015-16 सत्तदिनार्थ

प्रतिलिपि: निम्नांकित को सूचना प्रेम एवं आवश्यक कार्यवाही हेतु प्रस्तुत:
1. गौण सचिव, उत्तराखंड शासन देहरादून।
2. समस्त फिलाडेल्फीय, उत्तराखंड।
3. समस्त अधिकारी, भूताल एवं खानिक कार्यरत उत्तराखंड।
4. समस्त पदों का आवेदन गौण विभाग (उपमंडल) उत्तराखंड।
5. निदेशालय में पदों का समस्त आरोप्यवाली)

(श्रीलार बाबू अरविन्दगी) निदेशक